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CERTIFICATE OF MAILING (37 CFR 1.8a)

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being

class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

Janet Farr

(Type or print name of person mailing paper)

Date: 4, 2001

(Signature of person mailing paper)

YAMAP0755US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Fukushima : Art Unit: 2651

Serial No: 09/802,794 : Examiner:

Filed: March 9, 2001

For: INFORMATION RECORDING MEDIUM, INFORMATION RECORDING AND

REPRODUCTION METHOD, AND INFORMATION RECORDING AND

REPRODUCTION APPARATUS

LETTER

Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

This is a response to the Notice to File Missing Parts which was mailed April 20, 2001, copy enclosed.

The notice indicated that the inventor's Declaration was missing. In response, please find enclosed a fully executed inventor's Declaration.

The notice also indicated that the statutory basic filing fee was missing.

In response, please find enclosed a check for the basic filing fee.

Also enclosed is an Assignment along with an Assignment Recordation

Cover Sheet for the above-identified application. Applicants respectfully request

recordation of the Assignment.

A check in the amount of \$2,306.00 is enclosed to cover the required

fees for the basic filing fee (\$2,136.00), for the late filing of the declaration (\$130.00)

and recordal of the assignment (\$40.00).

The Commissioner is hereby authorized to charge the following additional

fees by this paper and during the entire pendency of this application to Deposit

Account No. 18-0988; 37 CFR 1.16(a), (f) or (g) (filing fees); 37 CFR 1.16(b), (c) and

(d) (presentation of extra claims); 37 CFR 1.16(e) (surcharge for filing the basic filing

fee and/or declaration on a date later than the filing date of the application); and 37

CFR 1.17 (application processing fees).

Respectfully submitted,

RENNER, OTTO, BOISSELLE & SKLAR, P.L.L.

Neil A. DuChez

Reg. No. 26,725

The Keith Building 1621 Euclid Avenue Nineteenth Floor Cleveland, Ohio 44115 216/621-1113







COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE

WASHINGTON, D.C. 20231

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/802,794

03/09/2001

Toshiyuki Fukushima

YAMAP0755US

CONFIRMATION NO. 8297

FORMALITIES LETTER

OC000000005992369*

Neil A. DuChez Renner, Otto, Boisselle & Sklar, LLP 1621 Euclid Avenue, Nineteenth Floor Cleveland, OH 44115

Date Mailed: 04/20/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

06/07/2001 SMINASS1 00000076 09802794

FILED UNDER 37 CFR 1.53(b)

01 FC:101 02 FC:103 03 FC:102 04 FC:105

710.00 OP 1026.00 OP 400.00 OP 130.00 OP

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$1426.
 - \$1026 for 57 total claims over 20.
 - \$400 for 5 independent claims over 3.
- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 2266.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE